

Sector

FEB 21 2002

TRANSMITTAL LETTER			Case No. 10716/8
Serial No. 09/784,810	Filing Date February 14, 2001	Examiner To be assigned	Group Art Unit 1614
Inventor(s) Gerritsen et al.			
Title of Invention NOVEL SPHINGOSINE KINASES			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is a Response to Notice to File Missing Parts of Non-Provisional Application; Petition and Fee for Extension of Time; Declaration; Powers of Attorney; copy of Notice to File Missing Parts of Non-Provisional Application; Submission of Sequence Listing; paper and disk copies of Sequence Listing; substitute specification containing proper margins; check in the amount of \$1594.00; check in the amount of \$1960.00 and return postcard.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☒ Petition for a 5 month extension of time.
- ☐ No additional fee is required.
- ☐ The fee has been calculated as shown below:

	Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra
Total	Minus		
Indep.	Minus		
First Presentation of Multiple Dep. Claim			

Small Entity	
Rate	Add'l Fee
x \$9=	
x 42=	
+\$140=	
Total add'l fee	\$

Other Than Small Entity	
Rate	Add'l Fee
x \$18=	
x \$84=	
+ \$280=	
Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$ \_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$1594.00 and \$1960.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Gregory M. Zinkl  
 Gregory M. Zinkl, Ph.D.  
 Registration No. 48,492  
 Agent for Applicant

BRINKS HOFER GILSON & LIONE  
 P.O. BOX 10395  
 CHICAGO, ILLINOIS 60610  
 (312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231, on 2/21/01

Date: 2/21/01 Signature: [Signature]



I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

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Washington, D.C. 20231

on 11/07/01  
Date of Deposit

Gregory M. Zinkl, Ph.D.

Name of applicant, assignee or  
Registered Representative

Signature

11/07/01  
Date of Signature

Case No. 10716/8

Nos. CURA-173 and P1879R1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Gerritsen et al.

Serial No.: 09/784,810

Filed: February 14, 2001

For: NOVEL SPHINGOSINE  
KINASES

Attention: Manager,  
Application Branch

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

Commissioner for Patents  
Washington, D.C. 20231

Attn.: Box Missing Parts

Dear Sir:

In accordance with the Notice to File Missing Parts of Application Filing Date Granted dated April 19, 2001, a copy of which is attached, enclosed herewith for filing are the following documents:

- ☒ Fully executed Declaration for Patent Application and Power of Attorney for the above-referenced patent application.
- ☒ Applicant is:

☐ a small entity, verified statement is:

☐ attached

☐ already filed

☒ other than small entity

☒ Checks totaling \$1594 for:

☒ Filing Fee of \$710.

☒ Surcharge of \$130.

☒ Additional Claim Fees of \$754.

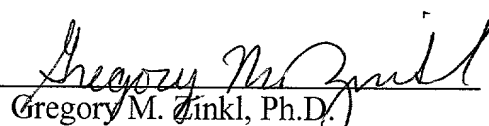
☒ Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate).

☒ Other: Submission of Sequence Listing; Paper and disk copies of Sequence Listing; and a substitute specification containing proper margins.

☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: 11/07/01

  
Gregory M. Zinkl, Ph.D.  
Registration No. 48,492  
Agent for Applicant(s)

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610  
(312)321-4200

rev. Oct.-01  
Document1



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/784,810	02/14/2001	Luca Rastelli	10716/8

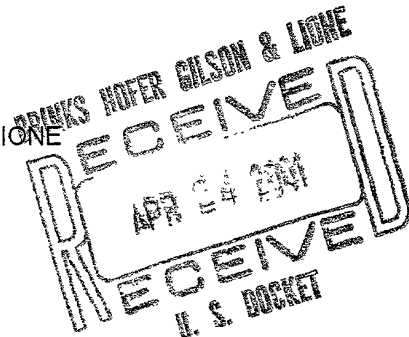
CONFIRMATION NO. 4070

## FORMALITIES LETTER



\*OC000000005988406\*

PAUL E. RAUCH, PH.D.  
BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610



Date Mailed: 04/19/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

02/27/2002 NMOHAMM1 00000075 231925 09784810

01 FC:128

1960.00 DP

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*

- Total additional claim fee(s) for this application is \$754.

- \$594 for 33 total claims over 20.
- \$160 for 2 independent claims over 3.

- The oath or declaration is missing.

*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*

- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1594.

02/27/2002 NMOHAMM1 00000075 231925 09784810

02 FC:101

03 FC:103

04 FC:102

05 FC:105

740.00 DP

594.00 DP

168.00 DP

92.00 DP

38.00 CH

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:

- Papers contain improper margins. Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content

Adjustment date: 03/01/2002 NMOHAMM1  
02/27/2002 NMOHAMM1 00000075 231925 09784810  
04 FC:102 -168.00 DP  
05 FC:105 -92.00 DP

38.00 CR

03/01/2002 NMOHAMM1 00000026 231925 09784810

01 FC:105

02 FC:102

38.00 CH

130.00 DP

130.00 DP

of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice **MUST** be returned with the reply.*

*Ngan*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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